

Message Text

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PAGE 01 STATE 094209
ORIGIN L-01

INFO OCT-01 ISO-00 EA-06 SS-15 EB-04 PA-01 SP-02
SSO-00 INR-05 INRE-00 /035 R

DRAFTED BY L/AF: N H ELY:L/EA: S ORLINS
APPROVED BY L/EA: S ORLINS
EA/TIMBS R FRITTS (SUBS)
-----109219 122317Z /62
O 122214Z APR 78
FM SECSTATE WASHDC
TO AMEMBASSY KUALA LUMPUR IMMEDIATE

C O N F I D E N T I A L STATE 094209

STADIS////////////////////////////////////
LIMDIS

E.O. 11652: GDS

TAGS: PGOV, PINT

SUBJECT:PENDING SEC COMPLAINT

REF: STATE 090520

1. EMBASSY SHOULD AT ITS DISCRETION NOTIFY APPROPRIATE
GOVERNMENT OFFICIALS IN CONFIDENCE OF IMPENDING SECURITIES
AND EXCHANGE COMMISSION (SEC) COMPLAINT WHICH WILL BECOME
PUBLIC UPON FILING AND DRAW ON THE INFORMATION PROVIDED AS
NEEDED KEEPING IN MIND THAT THE CONTENTS OF THE COMPLAINT
CONFIDENTIALLY PROVIDED TO US BY THE SEC.

2. THE DEPARTMENT'S VIEW IS THAT IT WILL NOT BE POSSIBLE
TO PREVENT DISCLOSURE OF THESE COUNTRIES AND THEIR OFFICIALS
ALTHOUGH DISCLOSURE IS POTENTIALLY EMBARRASSING TO THE
GOVERNMENTS AND OFFICIALS INVOLVED.
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3. THE SEC WILL TODAY FILE A CIVIL REPEAT CIVIL COMPLAINT
IN WASHINGTON, D.C. ALLEGING VIOLATIONS OF THE ANTI-FRAUD
PROVISIONS OF THE SECURITIES AND EXCHANGE ACT OF 1934
15 U.S.C. 78(J)(B), 17 C.F.R. 240.10B-5 BY PAGE AIRWAYS NAMING
AS RECIPIENTS OF MONIES, NOT REPEAT NOT AS DEFENDENTS,
AMONG OTHERS, DATUK HARRIS SALLEH, CHIEF MINISTER OF SABAH.

IN ORDER TO ALLOW THE DEPARTMENT TIME TO NOTIFY HOST
GOVERNMENTS, SEC PRESS RELEASE WILL BE DELAYED UNTIL
TOMORROW.

4. THIS IS A CIVIL ACTION BROUGHT BY THE SEC FOR THE
BENEFIT OF STOCKHOLDERS SEEKING ACCURATE AND ADEQUATE
ACCOUNTING OF THESE MONIES, REQUIRING APPOINTMENT OF A
SPECIAL OFFICER TO ACCOUNT FOR THE MONIES AND OTHER
EQUITABLE RELIEF. THIS IS NOT REPEAT NOT A CRIMINAL
ACTION BUT MERELY A REQUEST BY THE SEC FOR ACCOUNTING BY
PAGE OF VARIOUS PAYMENTS TO GOVERNMENT OFFICIALS AND
EMPLOYEES. VANCE

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Message Attributes

Automatic Decaptioning: Z
Capture Date: 01 jan 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: PUBLICATIONS, TRADE COMPLAINTS, STOCKS (SECURITIES)
Control Number: n/a
Copy: SINGLE
Draft Date: 12 apr 1978
Decaption Date: 20 Mar 2014
Decaption Note: 25 YEAR REVIEW
Disposition Action: n/a
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 jan 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978STATE094209
Document Source: CORE
Document Unique ID: 00
Drafter: N H ELY:L/EA: S ORLINS
Enclosure: DECLASSIFIED PER 8/13/79 MEMO FROM L/EB - FRANK WILLIS
Executive Order: N/A
Errors: N/A
Expiration:
Film Number: D780157-1129
Format: TEL
From: STATE
Handling Restrictions:
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t19780464/aaaaccov.tel
Line Count: 76
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Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE, ON MICROFILM
Message ID: cb1fd0b1-c288-dd11-92da-001cc4696bcc
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Original Classification: UNCLASSIFIED
Original Handling Restrictions: LIMDIS, STADIS
Original Previous Classification: n/a
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Review Exemptions: n/a
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Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 2990713
Secure: OPEN
Status: NATIVE
Subject: PENDING SEC COMPLAINT
TAGS: PGOV, PINT, EFIN, SEC
To: KUALA LUMPUR
Type: TE
vdkgvwkey: odb://SAS/SAS.dbo.SAS_Docs/cb1fd0b1-c288-dd11-92da-001cc4696bcc
Review Markings:
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